

Docket No.: TWI-009



Customer #25199

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Thomas E. West, Jr.  
Serial Number: 10/020082  
Filed: 12/11/2001  
For: PADS FOR CMP AND POLISHING SUBSTRATES

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL PATENT APPLICATION**

March 17, 2002

Honorable Commissioner of Patents and Trademarks  
Washington DC 20231

Sir:

In response to the Notice to File Missing Parts of Application dated 01/18/2002, submitted herewith are the following documents for filing in the above referenced application:

1. Credit Card Payment Form PTO-2038 in the amount of \$504.00 for payment of the statutory basic filing fee of \$370.00 for a small entity, \$27.00 for 3 total claims over 20, \$42.00 for 1 independent claim over 3, and the surcharge of \$65.00 for a small entity.
2. Patent Application Declaration and Power of Attorney signed by inventors (2 pages).
3. Copy of Notice to File Missing Parts of Provisional Application.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above named patent application and justify the filing date of 12/11/2001. Please telephone the undersigned at (408) 396-1112 if there are any questions regarding this matter.

Respectfully submitted,

Larry Williams  
Reg. No. 39,428  
122 Calistoga Rd., PMB-301  
Santa Rosa, CA 95409-3702  
CUSTOMER # 25199

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 03/17/2002  
Printed name LARRY WILLIAMS  
Signature [Handwritten Signature]



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/020,082	12/11/2001	Thomas E. West JR.	TWI-009

25199  
LARRY WILLIAMS  
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SANTA ROSA, CA 95409-3702

CONFIRMATION NO. 1128

## FORMALITIES LETTER



\*OC000000007333691\*

Date Mailed: 01/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/02/2002 BSAYASI1 00000113 10020082

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:202	42.00 OP
03 FC:203	27.00 OP
04 FC:205	65.00 OP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$138.
  - \$54 for 3 total claims over 20.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1008.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE